

USA SWIMMING
ATHLETE SELECTION PROCEDURES
2017 FINA WORLD CHAMPIONSHIPS
July 15-30, 2017 (competition dates July 23-30)

I. SELECTION SYSTEM

The 2017 FINA World Championships will be conducted in Budapest, Hungary July 15-30, 2017. Swimming competition dates are July 23-30, 2017.

The following common definitions shall apply to this Team Selection Procedure.

- A. Available Swimmer. "Available Swimmer" shall mean a swimmer who, at the time of selection and through the Championships: (i) is a registered athlete member of USA Swimming in good standing, (ii) is a citizen of the United States who is eligible to represent the United States at the Championships and has a valid passport by assembly date, (iii) qualifies for the Team in an Event according to these Procedures, (iv) satisfies all the FINA, World Anti Doping Agency (WADA), United States Anti-Doping Agency (USADA) and USA Swimming applicable eligibility requirements, (v) accepts the invitation to become a member of the Team when that invitation is offered by USA Swimming, (vi) agrees to swim in at least one Event for which he/she qualifies, (vii) has achieved the FINA "A" Time Standard for the Championships (time standards can be found in appendix A) for individual Events or the FINA "B" Time Standard for relay Events and (viii) continues to train for peak swimming performance through the Championships. If a swimmer does not satisfy these requirements, then he/she is not considered an Available Swimmer.
- B. "A" Time Standard. An "A" Time Standard is a time standard developed by FINA for those wishing to compete in individual Events at the World Championships. "A" Time Standards can be achieved in competitions approved for that purpose by FINA from March 1, 2016 through July 5, 2016. FINA Qualification Time Standards are found in Attachment A.
- C. Championships. "Championships" shall refer to the 2017 FINA World Championships.
- D. Event. "Event" shall refer to competitions held in long course meters only at the Championships and Qualifying Competition.
- E. Finals. "Finals" shall mean the "A" Final heat that is conducted in a Qualifying Competition Event from which the top finishers are determined.
- F. Head Coach. Unless otherwise indicated, "Head Coach" shall refer to the head coach of the Team for each gender.
- G. National Team Director. "National Team Director" shall refer to the USA Swimming National Team Director, or his designee.

- H. Non- Olympic Events. Non- Olympic Events are described in section III. B.(M/W 50 Butterfly, M/W 50 Backstroke, M/W 50 Breaststroke, Men’s 800 Freestyle and Women’s 1500 Freestyle),
 - I. Qualifying Competition. “Qualifying Competition” shall mean the 2017 Phillips 66 National Championships and World Championship Trials to be conducted June 27- July 1, 2017 in Indianapolis, IN.
 - J. Relay-Only Swimmer. A “Relay-Only Swimmer” means a swimmer who has qualified for the Team but has not qualified to swim in an individual Event and who as met the FINA “B” Time Standard for the Championships (time standards can be found in appendix A).
 - K. Swim Offs. “Swim Offs” may be held at the Qualifying Competition for the sole purpose of determining priority selection order. Swim Offs are put in place to resolve ties between athletes in the same qualifying Event and are a one time opportunity to resolve a tie for placement on the Team. Except for breaking a tie, the time from the Swim Off will NOT count towards selection to the Team.
 - L. Team. “Team” shall mean the 2017 World Championship Team announced on or before July 2, 2017.
 - M. World Ranking. “World Ranking” shall mean the ranking of the time swum by a swimmer in the Finals of the Qualifying Competition integrated into the fina.org 2017 World Rankings as of June 19, 2017.
1. Selection Eligibility. To be eligible for selection to the Team, an must be an Available Swimmer at the time of selection.
 2. Additional Requirements for Selection.
 - A. To ensure that USA Swimming selects the best possible team for the World Championships, all swimmers who qualify for the World Championships are ineligible for selection to the World University Games Team, unless otherwise agreed to in writing by USA Swimming. Athletes will be allocated to the teams for these two competitions in the following order of importance to USA Swimming and must swim in the competition to which they are assigned, unless otherwise excused pursuant to these Selection Procedures:
 - (i) 2017 World Championships
 - (ii) 2017 World University Games
 - B. Each swimmer selected to the Team must sign and abide by the USA Swimming 2017 competition forms (Forms to be posted on or before the Qualifying Competition) and conform to all USA Swimming policies and protocols regarding Team preparation and participation;
 - C. Each swimmer selected to the Team must agree to be filmed and photographed by the official photographer(s) and network(s) of USA Swimming under the conditions authorized by USA Swimming and give USA Swimming the right to

use his or her name, picture, likeness, and biographical information to promote the sport of swimming; and

- D. Each swimmer selected to the Team in an individual event must meet the FINA "A" Time Standard (see Attachment A) in an Event for which he/she qualifies during the applicable time period and competition established by FINA, but no later than July 5, 2017. Failure to meet the FINA "A" Time Standard by the deadline may result, in USA Swimming's determination, in removal from the Event, and if the swimmer has not met the FINA "A" Time Standard in any individual Event for which he or she has qualified, USA Swimming shall remove the swimmer from the Team.

3. **Team Selection- Making and Filling the Team.**

- A. **Team Size.** The maximum allowable Team size is up to twenty-six (26) men and twenty-six (26) women. The actual Team size will be determined once the selection criteria have been implemented. For purposes of these Procedures, the men's and women's components of the Team will be considered separately.
- B. **Performance Criteria for Team Selection.** Performances in the individual Events at the Qualifying Competition will be prioritized for each gender as follows to fill the Team up to a maximum of twenty-six (26) men and twenty-six (26) women. Only swimmers who qualify via one of the priorities set forth below are eligible for selection to the Team. Swimmers not qualifying via one of these priorities will not be eligible to be selected to the Team, unless they are subsequently selected to fill a vacant position according to Section 4. If, after applying the priorities set forth below, there are less than twenty-six (26) men or twenty-six (26) women, or both, on the Team, then USA Swimming will not field a full Team, unless the Team is filled as a result of filling vacant positions as provided in Section 4

The Team for each gender shall be selected based on the following priorities:

- i. **Priority #1.** Shall include both (i) the four highest placing Available Swimmers in the Finals of the Qualifying Competition in each of the 100-meter and 200-meter freestyle Events, and (ii) the highest placing Available Swimmer in the Finals of the Qualifying Competition in each of the individual Olympic Events other than the 100-meter and 200-meter freestyle Events.
- ii. **Priority #2.** Shall be the second highest placing Available Swimmer in the Finals of the Qualifying Competition in each of the individual Olympic Events other than the 100-meter and 200-meter freestyle Events.
- iii. **Priority #3.** Shall be the highest placing Available Swimmer in the Finals of the Qualifying Competition in the Non-Olympic Events.
- iv. **Priority #4.** Shall be the fifth highest placing Available Swimmer in the Finals of the Qualifying Competition in each of the 100-meter and 200-meter freestyle Events.
- v. **Priority #5.** Shall be the sixth highest placing Available Swimmer in the Finals of the Qualifying Competition in each of the 100-meter and 200-meter freestyle Events.

- C. Decreasing Team Size. If the prioritization procedures described above result in a list of either more than twenty-six (26) male or more than twenty-six (26) female swimmers, or both, then the swimmers of each gender shall be ranked and selected to the Team according to the following system:
- i. All swimmers from Priority #1 shall be selected to the Team.
 - ii. If selecting persons from Priority #1 and Priority #2 would result in more than twenty-six (26) swimmers per gender on the Team, then the swimmers within Priority #2 shall be invited to fill the Team based on the highest World Ranking in their Event until a maximum of twenty-six (26) swimmers for that gender are selected.
 - iii. If all Priority #2 swimmers are named to the Team and the maximum Team size of twenty-six (26) swimmers per gender has not been attained, then the same procedure of using the highest World Rankings in their Event will be used to rank the swimmers in Priority #3. Athletes will be World Ranked based on their Finals time at the Qualifying Competition integrated into the World Rankings in each Non-Olympic Event from January 1, 2017 through June 19, 2017. Athletes will be considered for selection based on available spots on the Team for each gender. No more than one Available Swimmer per gender may be selected for each Non-Olympic Events. Additional swimmers in Non-Olympic Events will be selected based on Section III.B. from swimmers already on the Team.
 - iv. If all Priority #3 swimmers are named to the Team and the maximum Team size of twenty-six (26) swimmers per gender has not been attained, then the same procedure of using the highest World Rankings in their Event will be used to rank the swimmers in Priority #4, and then Priority #5, respectively, until the Team has been filled, or until the list of Available Swimmers from Priority #1 through Priority #5 has been exhausted.
 - v. Per FINA rules (BL 9.3.6.3) USA Swimming may only enter 12 Relay-Only Swimmers for the Championships, no matter what gender. If more than 12 Relay-Only Swimmers are selected to the team via Section 3.B. then all athletes who qualified for the Team via Priority #4 will be selected to the Team based on their Finals time at the Qualifying Competition integrated into the World Rankings in their respective Event from January 1, 2017 through June 19, 2017. This procedure will be used for Priority #5 as needed until only 12 Relay-Only Swimmers are named to the Team.

D. Breaking a Tie.

- i. Tie in Same Event. In the instance in Olympic events where there is tie between two swimmers in the same Event for first place, both swimmers will be considered to fall under Priority #1. In the instance where there is a tie between two or more swimmers in the same Event for the first place in a Non-Olympic Event, for the second highest place finish in an Olympic Event, other than the 100 or 200 freestyle, or for fourth, fifth and/or sixth place in the 100- and 200-freestyle at the Qualifying Competition, a Swim Off will take place, as necessary. The Swim Off will take place at the Qualifying Competition at a time determined by the athletes, their coaches and the National Team Director.

If a tie occurs between three (or more) swimmers for first place, the Swim Off would include all three (or more) swimmers and, in Olympic Events, the top two finishing athletes in the Swim Off would qualify for the Championships, and in Non-Olympic Events, the top-finishing would qualify for the Championships.

- ii. Tie in Different Events. In the instance where, pursuant to the World Rankings, there is a tie between two or more swimmers in different Events for the final position(s) on the Team, the tie will be broken as follows:
- (a) Each swimmer's fastest performance swum in their Event at the Finals of the Qualifying Competition will be converted into a ratio, using the Qualifying Competition time as the denominator, and the most recent FINA Approved World Record for that Event as of June 19, 2017 as the numerator, and the swimmer(s) with the highest percentage shall be selected to the final position(s) on the Team.
 - (b) If this does not break the tie, then each swimmer's fastest performance swum in their Event in the Finals of the Qualifying Competition will be rated according to the FINA.org 2017 Long Course Performance Ratings, published by FINA, and the swimmer(s) with the highest rating shall be selected to the final position(s) on the Team.
 - (c) If this does not break the tie, the fastest time swum by each swimmer in his/her Event during the period from January 1, 2017 through and including July 1, 2017 will be ranked according to the FINA.org World Ranking lists for 2017 as of June 19, 2017, and the swimmer with the highest ranking will be added to the Team.
 - (d) If this fails to break the tie, the tie will be broken by random draw.

4. Adjustments to the Team between Selection of Team and July 5, 2017.

Filling Vacant Team Position. If, for any reason, a position on the Team shall become vacant between the selection of the Team and the date USA Swimming submits its entries for the Championships (July 5, 2017), such vacancy shall be filled as follows, provided, however, that nothing in this Section 4 shall have the effect of bumping off the Team a swimmer who has already been selected to the Team:

i. Filling Vacant Event Position With No Team Vacancy.

A Team member who for any reason determines, in consultation with the Head Coach and National Team Director, that it is in his/her best interest, either due to injury, the timing of Events at the Championships, or otherwise, not to compete in one or more of the individual Events for which he/she has qualified, but to remain on the Team and compete in other Event(s) for which he/she qualified may do so. In this case, he/she may decline the opportunity to compete in any individual Event, provided, however, that he/she is strongly encouraged to notify the National Team Director of his/her decision by July 3, 2017.

If the Team remains full (twenty-six [26] per gender) after the time of his/her decision, the vacancy in any Event(s) shall be filled by a swimmer already on the Team. First priority will be given to the next highest placing finisher in the Event at the Qualifying Competition. If no athlete meets the criteria, then the athlete who has recorded the fastest time in that Event during the period beginning January 1, 2017 and continuing through and including July 1, 2017 will be selected to the Event; provided, however, that the replacement swimmer must agree, after consulting with the Head Coach and National Team Director, to compete in the additional Event. If the replacement swimmer does not agree to swim in the additional Event, then the replacement swimmer shall not be considered an Available Swimmer for that Event. This process shall repeat until the Event is filled.

ii. Filling Vacant Event Positions with Team Vacancy.

(a). Filling Vacant Event Positions in All Events Other Than 100m and 200m Freestyle.

For all Events except the 100m or 200m Freestyle events, if the Team is not full (fewer than twenty-six (26) swimmers per gender) after a swimmer declines a position in an Event for any reason, or Event positions otherwise become vacant, then the vacancy in any such Event(s) shall be filled by the next highest place finisher who is an Available Swimmer from the Qualifying Competition in that Event. If the next highest place finisher who is an Available Swimmer from the Qualifying Competition is already on the Team, and he/she agrees after consulting with the Head Coach and National Team Director to swim in the additional Event, then he/she shall serve as the replacement in that Event. If he/she declines to swim in the additional Event, then he/she shall not be considered an Available Swimmer for that Event, and the next fastest Available Swimmer will be considered. If the next Available Swimmer is not already on the Team, then that swimmer will be selected according to Section 3.B. If adding the next Available

Swimmer from each Event left vacant would cause the Team to exceed the maximum number (twenty-six (26) per gender), then those who qualify as the next fastest Available Swimmer in the Events left vacant shall be ranked according to their World Ranking and those ranked highest according to that procedure shall be added to the Team in order until the Team reaches twenty-six (26) per gender, and any remaining Event vacancy will be filled from within the Team pursuant to Section 4.above.

(b). Filling Vacant Positions in 100m or 200m Freestyle with Team Vacancy.

If a vacancy occurs in the 100m or 200m Freestyle Event, the next highest placing Available Swimmer already on the Team will compete in the individual Event, provided that he/she has satisfied any other applicable requirements set forth in these Selection Procedures, and no additional swimmer will be added to the Team. If the vacancy occurs due to the withdrawal of a Relay-Only Swimmer, a replacement will be selected according to the procedures set forth in Section 4.ii.c below.

(c.) Relay-Only Swimmer vacancy.

If the vacancy occurs because a Relay-Only Swimmer is no longer on the Team or withdraws from a position in a relay Event, then the following procedure will be used:

- (1) If there is a vacancy available for a Relay-Only Swimmer pursuant to Sections 4.ii.b above, then the next best finishing Available Swimmer from the Event in which the vacating swimmer qualified for the Team will be added to the Team. For example, if only five Relay-Only Swimmers were on the Team, and if the sixth-place finisher in the 100m freestyle were to leave the Team, then the seventh-place finisher in the 100m freestyle would be added to the Team, provided that he/she is an Available Swimmer.
- (2) If there are no vacancies available for Relay-Only Swimmers pursuant to 4.ii.b above, then no swimmers will be added to the Team.

5. **Selection of Swimmers for Events.**

- i. Individual Olympic Events. The first and second highest placing Available Swimmer at the Qualifying Competition in each of the Olympic Events will swim those Olympic Events at the Championships (unless the swimmer chooses not to swim in that Event or, is replaced pursuant to these Procedures or has not been selected to the Team pursuant to Section I.3.B; provided, however, they both must have achieved FINA "A" Time Standards for the Event.

ii. Non-Olympic Events: For Non-Olympic individual Events at the Championships (M/W 50 Butterfly, M/W 50 Backstroke, M/W 50 Breaststroke, Men's 800 Freestyle and Women's 1500 Freestyle):

(a) USA Entry #1 - Will be given to Priority #3 in section I.3.B (the highest finishing swimmer in the Non-Olympic Event).

(b) USA Entry #2 - Will be given to those athletes who have qualified for the Team as Priority #1 provided the athlete has a FINA "A" Time Standard (as part of I.3.B. – highest finishing swimmer) in the Events listed below:

<u>First Offer to Those on Team In:</u>		<u>Non-Olympic Event</u>
Men's/Women's 100 Butterfly	for	Men's/Women's 50 Butterfly
Men's/Women's 100 Backstroke	for	Men's/Women's 50 Backstroke
Men's/Women's 100 Breaststroke	for	Men's/Women's 50 Breaststroke
Women's 800 Freestyle	for	Women's 1500 Freestyle
*Men's 400 and 1500 Freestyle	for	Men's 800 Freestyle

Example: For the men's 50 Butterfly, the first USA entry will be offered to the winner of the 50 Fly at the Qualifying Competition. The second USA entry will be offered to the winner of the 100 Fly at the Qualifying Competition.

(c) Additional Entries - Should one or more of these swimmers decline the opportunity to swim the Non-Olympic Event, unfilled position(s) for such Event(s) shall, after consultation between the swimmer and Head Coach and National Team Director, be filled by the Team member(s) who has swum the fastest time (or second-fastest time if two positions in the Event are to be filled) in such Event from January 1, 2017 – June 29, 2017, provide the athlete has a FINA "A" Time Standard. If vacant Non-Olympic Event positions are still not filled, those positions shall be filled from among other swimmers already on the Team at the discretion of the Head Coach, in consultation with the Assistant Coaches and the National Team Director.

* The highest finishing swimmer in the men's 400 and 1500 freestyle who has the highest World Ranking as of June 19, 2017 will be offered the second opportunity to swim the men's 800 Freestyle. If this results in a tie, the swimmer among the tied swimmers with the highest World Ranking in the 800 freestyle in the fina.org World Ranking list as of June 19, 2017 shall first be offered the opportunity.

iii. Relay Selection

The Head Coach, in consultation with the assistant coaches and National Team Director, shall select the swimmers for each relay conducted at the Championships. In selecting the relay teams, the Head Coach, in consultation with the assistant coaches and National Team Director, shall consider all available information, including but not limited to each Team member's Qualifying Competition performances (including preliminary times, time trials, and relay lead-off times), past relay performances, past relay reaction times, relay exchange experience, 2017 Championships performances, personal best performances, 2017 Championships schedules, individual workload, other recent results and performances, consistency of performances, fatigue, health, fitness levels, training preparation, participation in relay training camps, scientific evaluation, attitude and team chemistry. Selection of swimmers for relays shall not be subject to challenge.

II. ENTRY ADJUSTMENTS

Adjustments to the Team after Final nomination due. If, for any reason, an additional Team position or an additional Event position shall become vacant after 11:59 am (MT), July 5, 2017, no additional members shall be added to the Team. In the event that USA Swimming is permitted to fill a vacant Event position, such vacant Event position will be filled with the swimmer already on the Team. First priority will be given to the next highest placing finisher in the Event from the Qualifying Competition. If no athlete meets this criteria, then the athlete who has recorded the fastest time in that Event during the period beginning January 1, 2017 and continuing through and including July 1, 2017 will be selected to the Event. However, the replacement swimmer must agree, after consulting with the Head Coach and National Team Director, to compete in the additional Event. If the replacement swimmer does not agree to swim in the additional Event, then the replacement swimmer shall not be considered an Available Swimmer for that Event. This process shall repeat until the Event is filled or the list of replacements has been exhausted.

III. DISMISSAL FROM TEAM

- A. Any swimmer may be dismissed from the Team at any time following an appropriate hearing if (i) the swimmer violates any of the applicable FINA, WADA, USADA, USA Swimming or other rules and regulations applicable to the Team or its members, including without limitation applicable Codes of Conduct; or (ii) the National Team Director, in consultation with the Head Coach, the USA Swimming Steering Chair and the USA Swimming Athletes' Vice Chair, determines that the swimmer is unable or unwilling to maintain a training regimen that is necessary for peak performance in the Championships.
- B. If a violation occurs during a team competition or camp and is deemed detrimental to the team, the National Team Director or his designee and the Head Coach reserve the right to dismiss an athlete from the team at the appropriate time, following an appropriate hearing.
- C. If a swimmer is alleged to have committed an anti-doping rule violation, that swimmer shall remain on the Team (or remain eligible to be named to the Team) until: 1) the swimmer is provisionally suspended or declared ineligible after a hearing conducted pursuant to the USADA Protocol for Olympic Movement

Testing or by FINA; 2) the swimmer voluntarily accepts a provisional suspension or sanction of ineligibility; or 3) the swimmer voluntarily withdraws from the Team or relinquishes eligibility to be named to the Team. Confidentiality regarding alleged anti-doping rule violations shall be maintained as provided in the USADA Protocol for Olympic Movement Testing.

- D. USA Swimming may remove an athlete who has an injury or an illness, as certified by the approved team physician, that is likely to keep him or her from competing at peak levels in the Championships. If an athlete refuses verification of his/her illness or injury by the approved team physician, his/her injury will be assumed to keep him or her from competing at peak levels in the Championships, and he/she may be removed.

IV. WITHDRAWAL FROM TEAM

Any swimmer may withdraw from the Team at any time due to injury or illness, as certified by a USA Swimming Medical Staff member in consultation with USA Swimming (National Team Director, Head Coach and the UOSC Athlete Advisory Representative), which prevents the swimmer from full participation in and/or preparation for the Championships.

V. CHALLENGE

Subject to an athlete's rights under Section 9 of the USOC Bylaws, any challenge to these Procedures or any decision made pursuant to or in connection with these Procedures shall be handled as follows: 1) if such challenge relates to an alleged violation of a technical rule, it shall be handled according to the procedure set forth in Part One of the USA Swimming Rules and Regulations (which can be found at www.usaswimming.org); 2) if such challenge relates to an alleged violation of the National Team Honor Code, it shall be handled according to the procedure set forth in the USA Swimming Steering Committee Manual; and 3) if such challenge does not relate to an alleged violation of a technical rule or an alleged violation of the National Team Honor Code, it shall be handled according to USA Swimming's hearing procedure set forth in Part Four of the USA Swimming Rules and Regulations. Any hearing required in order to resolve a challenge shall take place on an expedited basis if necessary in order to resolve the challenge in sufficient time for Team selection to be finalized by a relevant deadline.

VI. DATE OF FINAL ENTRIES to FINA

USA Swimming will submit final entries to the Championships at 11:59 a.m. (MT) on July 5, 2017.

VII. ASSEMBLY, TRAINING AND COMPETITION

Athletes nominated to the Team will be required to participate in assembly, training and competition, and remain with the Team throughout the Championships. Specific dates/locations of mandatory training and competition are:

<u>Site</u>	<u>Dates</u>	<u>Event</u>
Multiple sites	July 11	Leave U.S.
Frankfurt, GER	July 12	Travel to Croatia
Opatija, Croatia	July 12	Training camp
	July 19	Travel to Budapest
Budapest, Hungary	July 23-30	World Championships Competition
	July 31	Travel home

X. PUBLICITY/DISTRIBUTION OF PROCEDURES

These Procedures, when approved, will be published on the USA Swimming website, usaswimming.org. Additionally, the Procedures will be distributed at the 2017 Phillips 66 National Championships and World Championship Trials general meeting.

IX. INTERNATIONAL DISCLAIMER; PRIORITY OF WRITTEN PROCEDURES

- A. These selection criteria are based on the latest information available to USA Swimming and are based on FINA and USA Swimming rules and regulations as presently known and understood. Any change in the selection procedures caused by a change in FINA or USA Swimming rules and regulations or other unforeseen circumstances will be distributed to the affected athletes as soon as possible.
- B. An athlete who is nominated to the Team by virtue of an error or oversight by USA Swimming may be removed from the team at any time.
- C. Priority of Written Procedures. The terms and conditions of these written Selection Procedures shall take priority over any verbal explanation, clarification or interpretation of the Selection Procedures, and an athlete relies on a verbal explanation, clarification or interpretation of these Selection Procedures at his or her sole risk. Any request or question that would involve a formal clarification or interpretation of these Selection Procedures, or that would address an issue not otherwise covered in these Selection Procedures, should be submitted to the National Team Managing Director at USA Swimming in writing, and the response to such request by USA Swimming will be provided in writing back to the requestor within five (5) business days.

ATTACHMENT A

A – 2 Entries	B – 1 Entry		A – 2 Entries	B – 1 Entry
0.22.47	0.23.26	50 m Freestyle	0.25.18	0.26.06
0.48.93	0.50.64	100 m Freestyle	0.54.90	0.56.82
1.47.73	1.51.50	200 m Freestyle	1.58.68	2.02.83
3.48.15	3.56.14	400 m Freestyle	4.10.57	4.19.34
7.54.31	8.10.91	800 m Freestyle	8.38.56	8.56.71
15.12.79	15.44.74	1500 m Freestyle	16.32.04	17.06.76
0.25.29	0.26.18	50 m Backstroke	0.28.52	0.29.52
0.54.06	0.55.95	100 m Backstroke	1.00.61	1.02.73